

Prospectus (2007 – 08)

M.Phil and Ph.D. Programmes



National University of Educational Planning and Administration

(Declared by the Government of India under Section 3 of the UGC Act, 1956)

17-B, Sri Aurobindo Marg, New Delhi-110016

www.nuepa.org

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1. About the National University

The National University of Educational Planning and Administration (NUEPA) has its origin dating back to 1962 when the UNESCO established the Asian Regional Centre for Educational Planners, Administrators and Supervisors which later became the Asian Institute of Educational Planning and Administration (AIEPA) in 1965. The AIEPA was later on merged with the National Staff College for Educational Planners and Administrators as its Asian Programs Division in 1973. Subsequently, with increasing role and functions of the National Staff College, particularly in capacity building, research and professional support services to the state governments, it was again renamed as the National Institute of Educational Planning and Administration (NIEPA) in 1979. In recognition of the pioneering work done by the organization in the field of educational planning and administration, the Ministry of Human Resource Development, Government of India have empowered it to award degrees by way of conferring on it the status of Deemed to be University in August, 2006 under Section-3 of the UGC Act 1956. Like any Central University, NUEPA is fully maintained by the Government of India. The National University is a premier organization dealing with capacity building and research in planning and management of education not only in India but also in South Asia.

The National University comprises nine Departments, which are: (i) Department of Educational Planning; (ii) Department of Educational Administration; (iii) Department of Educational Policy; (iv) Department of Educational Finance; (v) Department of School and Non-Formal Education; (vi) Department of Higher Education; (vii) Department of International Cooperation; (viii) Department of Sub-National Systems; and (ix) Department of Operations Research and Systems Management. It has an outstanding multi-disciplinary faculty. Its library is well stocked with a large number of titles, national and international journals and official documents in the area of educational planning and administration.

2. M. Phil. and Ph.D. Programmes 2007-08

The National University offers M. Phil. and Ph.D. programmes in Educational Planning and Administration from a broader inter-disciplinary social science perspective. These programmes are designed to build the research capacity of scholars from varied backgrounds and provide a strong knowledge base in different areas of educational policy, planning and administration. A salient feature of the programmes is to produce future leaders in the field who could acquire a strong professional background in the theory and practice of educational planning and administration. The research studies completed under the Ph.D. programme are expected to make significant contribution in enriching the knowledge base, besides providing critical inputs for policy formulation, implementation of reform programmes and capacity building activities. The broad areas of research of the National University include the following:

- Educational Planning
- Educational Administration

- Educational Finance
- Educational Policy
- School Education
- Higher Education
- Professional Education
- Comparative Education
- Gender Issues in Education
- Equity and Inclusion in Education
- Educational Management Information System (EMIS)
- Education and Globalisation

3. Intake in M.Phil/Ph.D. Programmes

The maximum intake in the aforesaid programmes will be as follows:

- Fifteen students for the M. Phil. programme;
- Ten students for the full-time Ph. D. programme; and
- Five students for the part-time Ph. D. programme.

The National University will follow all mandatory provisions of the reservation policy of the Government of India.

4. Eligibility and Admission

4.1 Eligibility Conditions

- (i) A candidate seeking admission to M. Phil. or Ph.D. programme shall have a minimum of 55% marks (50% for SC/ST candidates) or its equivalent grade in the Master's Degree in Education, Social Sciences, Commerce, Sciences, Management, Law and allied disciplines from a recognized university.
- (ii) Candidates who are yet to clear their final examination at the Master's Degree level at the time of application are also eligible provided they pass the examination as per the eligibility conditions laid down in 4.1 (i). The candidate shall have to submit the proof of the same at the time of registration in July 2007 failing which the candidate will not be admitted to the M. Phil./Ph.D. programme.
- (iii) Candidates who have qualified in the National Eligibility Test (NET) and have been awarded Junior Research Fellowships by the UGC-CSIR, with the above-mentioned educational qualifications, are also eligible to apply for M.Phil/Ph.D. programmes.
- (iv) In addition to 4.1(i) above, the **part-time Ph.D. candidates** should have at least two years of teaching, research or professional experience in educational planning and administration

4.2 Selection and Admission

Admission shall be made to the M. Phil. or Ph.D. programme once in a year. Every candidate shall apply for admission to the M. Phil. or Ph. D. programme (separately for each programme) in the prescribed form. The form can either be downloaded from the University **website, www.nuepa.org** or obtained through a request along with a demand draft of Rs. 100 in favour of the Registrar, NUEPA payable at New Delhi, addressed to:

The Registrar

**National University of Educational Planning and Administration (NUEPA)
17-B, Sri Aurobindo Marg
New Delhi-110016
India**

The duly filled-in application along with three copies of a brief write-up in any one of the proposed area(s) of research as indicated in Section 2 (around 2500 words), which the candidate desires to pursue within the broader framework of educational policy, planning and administration, should be sent by registered post to the Registrar so as reach latest by 31st March, 2007 for admission in July, 2007. The envelope should be distinctly marked as **Admission to M. Phil. or Ph.D. Programme 2007, as the case may be.**

The write-up should be structured around the proposed topic incorporating therein the importance of the topic, the analytical review of the existing literature and the research issues proposed to be addressed by the candidate. In each area mentioned in Section 2, the focus of the proposed write-up should specifically bear upon aspects related to educational policy, planning and administration as the case may be. Besides providing an idea regarding the area of research proposed to be pursued, the write-up may also be used by the University as one of the parameters for initial short-listing of applications.

Only the short-listed candidates will be subjected to second level screening through which the University will assess the general awareness of the candidate about the educational issues, comprehension of research literature and ability to interpret data and draw inferences.

Admission to **M. Phil./Ph.D. programme** shall be made following the aforesaid selection criteria.

A candidate interested in **part-time Ph.D. programme** may submit the Ph.D. synopsis through the concerned faculty to the Registrar of the National University. The candidate will present the synopsis before the Admission Committee which after due consideration will recommend the registration of the student to the part-time Ph.D. programme.

5. Programme Duration and Management

5.3 M.Phil

The duration of the M. Phil. programme is two years.

The M. Phil. students shall undergo course work in research methodology and key aspects of educational planning and administration during the first year. The students shall have to attend the prescribed courses. It is mandatory for students to clear the course work before the submission of the dissertation.

Students of the M. Phil. programme shall work for dissertation under the supervision of one of the NUEPA faculty.

5.2 Ph.D.

The maximum duration of the full-time Ph.D. Programme is five years. A student enrolled in the Ph.D. programme shall be required to submit his/her thesis not earlier than two years but not later than four years from the date of confirmation of registration. The Board of Studies, in exceptional cases, may grant an extension of a year on the recommendation of the supervisor(s). However, for the part-time students, the maximum time allowed for submission of Ph.D. thesis will be seven years from the date of confirmation of registration.

Given the basic objective of the Ph.D. programme, the selected students shall work under the supervision of one of the NUEPA faculty. However, in special cases, the student may be allowed to have a co-supervisor from outside NUEPA from any recognized University/Institution, including people of eminence in the field of educational planning and administration.

In order to provide an effective research foundation, the full-time Ph. D. students will complete course work, which is mandatory for them, in research methodology and key aspects of educational planning and administration during the first eight months of the first year. In the remaining months of the first year, they will also attend tutorials as decided by their respective supervisor(s), which will help them identify the Ph.D. topic, and subsequently, develop the synopsis. The student, in consultation with the supervisor(s), will have to identify and prepare the initial draft of the Ph.D. synopsis latest by the end of the first year.

The student will be required to present the draft synopsis in the faculty seminar to be organized during the last two months of the first year. The basic purpose of this exercise is to have a specific feedback from the faculty to improve the synopsis. The student will present the revised Ph.D. synopsis in a faculty seminar to be organized during the last month of the first year. The final version of the synopsis, duly approved by the supervisor(s), has to be submitted latest by the end of the first year. This will be a necessary condition for confirming the registration of the full-time Ph.D. student.

Once the student has been confirmed as a full-time researcher, he/she shall work for a minimum period of two years to be eligible to submit his/her Ph.D. thesis.

For the part-time Ph.D. students, attendance of the eight month course will be optional. All other conditions as applicable to full-time Ph. D. students shall equally apply to part-time students.

On completion of the Ph.D. programme, the student shall submit through his/her supervisor(s) five copies of the thesis not later than four years (for full-time students) and seven years (for part-time students) from the date of confirmation of registration for the Ph. D. programme. Besides, the student shall also submit five copies of the abstract of the thesis in not more than 2000 words. He/she shall also be required to make a presentation of the research work in a seminar, at least three months prior to submission of the thesis.

M. Phil. and Ph.D. programmes will be governed by the overall rules of the National University.

8. Fees and Fellowships

6.1 Fee Structure

Fee	Amount (in Rs.)	Remarks
A. Fees		
Admission Fee	3,000.00	Payable at the time of admission
Course Fee (Annual)	3,000.00	Payable in two installments (in July and January)
Course Evaluation Fee	1,000.00	Payable at the time of admission
Thesis/Dissertation Submission Fee	Ph.D. 1,000.00 M. Phil. 500.00	Payable at the time of submission
Thesis/Dissertation Re-submission Fee	Ph.D. 500.00 M. Phil. 300.00	Payable at the time of re- submission
Award of Provisional Certificate	100.00	Payable along with the application
Award of Degree	500.00	Payable along with the application
Replacement of Degree	300.00	Payable along with the application
B. Refundable Deposits		
Library	1000.00	Payable at the time of admission
Computer Centre Fee	500.00	Payable at the time of admission
Caution Money	1000.00	Payable at the time of admission

Note: (1) The Course Fee includes Tuition and Identity Card fees.

(2) The fee structure mentioned above is subject to change as per the decision of the University.

6.2 Fellowships

The National University will award upto 10 Fellowships each for the M. Phil. and full-time Ph.D. programmes. The amount of Fellowship will be as per the UGC norms. Candidates who have qualified the National Eligibility

Test (NET) with Junior Research Fellowships awarded by the UGC-CSIR will draw the same from those organizations through the National University as per the norms and procedures laid down by UGC-CSIR. However, the continuation of the Fellowship shall be subject to satisfactory progress report of the student duly approved by the supervisor in each quarter.

M. Phil. and Ph.D. students from the second year onwards may be required to provide part-time assistance in the research/training programmes conducted by the National University.

9. Course Structure

7.2 Course Outline for M. Phil. Programme

The M. Phil. programme will be offered in three parts. Compulsory Papers will be transacted in Part I and Optional Papers in Part II. Part III will be devoted to the Dissertation work.

The one-year course work for M. Phil. will cover both Compulsory Papers and Optional Papers of four credits each except Course on Research Methodology and Statistics which will carry six credits, totaling to 26 credits. In addition, the students will have to earn six more credits by way of Seminar Presentation, Term Papers and Field Study. Thus, the course work relating to M. Phil. programme will be of 32 credits. Each student will take two papers from the list of Optional Papers. The four Compulsory Papers will be transacted in the first eight months while the optional papers will be covered in the last four months of the first year. The courses offered are as follows:

Part I: Compulsory Papers

Assigning Credits may be examined by experts

Paper	Title	Credits
1.	Education and Development	4
2.	Research Methodology and Statistics	6
3.	Educational Policy and Planning	4
4.	Educational Administration	4

Part II: Optional Papers*

Assigning Credits may be examined by experts

Paper	Title	Credits
1.	Contemporary Issues in School Education	4
2.	Contemporary Issues in Higher Education	4
3.	Sociology of Education	4
4.	History of Education	4
5.	Comparative Education	4
6.	Financing of Education	4
7.	Inclusive Education	4
8.	Early Childhood Care and Education (ECCE)	4

* A course in optional papers will be offered by the University when at least 3 students opt for it.

Part III: Dissertation

After the successful completion of the first two parts and passing the examination in both compulsory and optional papers, the student, from the beginning of the second year, will be required to work for his/her Dissertation. In case the student is unable to clear the course work in the first year, he/she will be allowed to proceed for the Dissertation work. However, clearing the course work is mandatory before the final submission of the Dissertation.

The student shall submit through his/her supervisor four hard copies and an electronic copy of the Dissertation not later than two years from the date of admission to the M. Phil. programme. Besides, the student shall also submit four copies of the abstract of the Dissertation in not more than 2000 words.

7.2 Course Outline for Ph.D. Programme

Pre-Ph.D. course work of eight months is mandatory for the full-time Ph.D. students. During this period the student will have to attend four papers, as mentioned in the Part I of Section 7.1 given above. However, for Ph. D. students attending the optional papers as mentioned above in Part II of Section 7.1 is not mandatory. Remaining four months of the first year will be devoted to preparation and submission of the synopsis for registration to Ph. D.

8. Evaluation

8.1 M.Phil Programme

8.1.1 Award of Degree

The M. Phil. students shall be evaluated within one year after the completion of papers. There will be continuous evaluation of the students in each paper through the term paper, seminar presentation and book review. It will comprise 50 per cent weightage. There shall be written examination in each paper. The end-term examination will carry 50 per cent weightage. The external examiner will evaluate the M.Phil. Dissertation and a *viva-voce* will be conducted. The student must obtain at least C grade on a *five point scale* or a minimum of 50 per cent marks on a 101 point scale in each paper and Dissertation for fulfilling the requirement of having successfully completed the M.Phil programme.

8.1.2 Provision in the Event of Discontinuation

In the event of a student discontinuing the programme after the completion of Part I and Part II of the M. Phil. course, he/she may be permitted to leave after due consideration by the authorities of the University with a certificate stating the credits earned in Part I and Part II of the course. Such a candidate may choose to return to the course later within three years to complete the M. Phil. Programme; in which case he/she may be exempted from undertaking Part I and Part II of the course again.

8.2 Ph.D. Programme

8.2.1 Course Work

The full-time Ph.D. students shall be evaluated at the end of the eight-month course work (Part I) and then at the time of presentation of the Ph.D. synopsis in the faculty seminar to be organized towards the end of the first year.

The part-time Ph.D. students shall be evaluated at the time of presentation of the Ph.D. synopsis.

8.2.2 Ph.D. Thesis and the Award of Degree

The Ph.D. thesis will be sent to two external examiners for evaluation. The examiners will be appointed by the Vice-Chancellor from a panel of experts suggested by the supervisor(s).

If both the external examiners recommend that the thesis be accepted for award of the degree, the student would be asked to defend the thesis in an open *viva-voce* which the other members of the faculty can also attend if they so desire. The *viva-voce* will be convened by the supervisor(s) and conducted by one of the external examiners who have evaluated the thesis. On the successful completion of the *viva-voce*, the student will be recommended for the award of the Ph.D. degree.

If one of the two external examiners recommends for revision of the thesis, the student shall have to do so and resubmit it not earlier than 6 months. In case, the thesis is resubmitted after revision, it should be sent to the same examiner. If, for some reasons, the external examiner, who had recommended for revision of the thesis, is not available for evaluation of the revised thesis, it should be sent to the third external examiner who shall be appointed by the Vice-Chancellor from within the approved panel. If one of the external examiners rejects the thesis, the matter shall be placed before the Board of Studies, whose decision will be final and binding on the student.

8.2.3 Provision in the Event of Discontinuation

- i) In the event of a full-time Ph.D. student discontinuing the programme after completing the Pre-Ph.D. course, he/she may be permitted to discontinue after due consideration by the authorities of the university. In such an event, the student may be given a certificate stating the credits earned by him/her in the Pre-Ph.D. course.
- ii) If a student discontinues after successful completion of the Pre-Ph.D. course and confirmation of the Ph.D. topic, he/she may be allowed to return to the programme later within three years, after seeking readmission, to complete the Ph.D. programme.

9 Programme Calender

9.1 M. Phil.

Sl. No.	Activities	Dates
1.	Last date for the receipt of application	March 31, 2007
2.	Short listing and screening of candidates	May 2007
3.	Declaration of result of final selection	First week of June 2007
4.	Last date for Admission	June 25, 2007
5.	Beginning of Classes	First week of July 2007
6.	Examination of Course Work (Part I)	February 2008
7.	Examination of Course Work (Part II)	May 2008
8.	Declaration of Examination Result for Course work	Second Week of June 2008
9.	Starting the Work for Dissertation	First Week of July 2008
10.	Last date for Submission of Dissertation	April 30, 2009

9.2 Ph. D.

S. No.	Activities	Dates
1.	Last date for the receipt of application	March 31, 2007
2.	Short listing and screening of candidates	May 2007
3.	Declaration of result of final selection	First week of June 2007
4.	Last date for Admission	June 25, 2007
5.	Beginning of Classes	First week of July 2007
6.	Examination of Course Work	February 2008
7.	Preparation of Synopsis	March - June 2008
8.	Evaluation and Approval of Synopsis	Last week of June 2008
9.	Final Registration to Ph.D.	July 2008 and thereafter

10 Provision for Transfer from M. Phil. to Ph.D. Programme

The M. Phil. students who display outstanding performance both in Part I and Part II course work with 'O' grade (80 per cent and above), if interested, may be allowed to proceed directly to the Ph.D. programme without fulfilling the requirement of completing Part III (i.e. Dissertation work) of the M. Phil. programme. In such cases the candidates will be awarded only the Ph.D. degree after ensuring that the required conditions prescribed for the Ph.D. programme are fulfilled.

11 Exemption from Course Work

Those students who are joining Ph.D. programme after completing M. Phil. from NUEPA will be exempted from the eight-month course work.

12 General Conditions

- (i) A student admitted for M. Phil./Ph.D. programme of the National University shall not be allowed to pursue any other course leading to a degree or diploma. Such concurrent activity, if brought to notice, shall entail cancellation of admission to the M. Phil./Ph.D. programme.
- (ii) A student shall not take up any temporary or permanent employment during the entire duration of the M. Phil./Ph.D. programme.
- (iii) Candidates who are already in employment shall have to submit 'No Objection Certificate' from their respective employers while applying for the M. Phil./Full-time Ph.D./Part-time Ph.D. programme.
- (iv) Students shall have to clear all dues before submission of the M. Phil. Dissertation and Ph.D. Thesis.
- (v) The M. Phil. and full-time Ph.D. students shall have to observe the condition of residency during the respective programmes which is stipulated to be two years attendance on the campus. However, in connection with the research work/field work, they may be allowed to go out of station with the prior permission of the supervisor(s).

13. NUEPA Campus & Student Support Services

NUEPA is located at 17-B, Sri Aurobindo Marg, New Delhi-110016. The University campus houses the office building, hostel and staff quarters. The hostel of the National University has 60 fully furnished rooms with attached bath. The hostel is generally meant for providing accommodation to the guests of the National University as well as for providing accommodation to the participants of training programmes, workshops and seminars, etc. organized by the University. The National University is likely to have off-campuses in the near future.

The University has a well established library and documentation center, publication unit, computer center, electronic data processing unit and a cartography cell.

14 Faculty

Prof. Ved Prakash

Vice- Chancellor

Ph.D. (Education)

Area of Specialization: Educational Evaluation and Administration.

Prof. R. Govinda

Professor and Head, Department of School and Non-Formal Education

Ph.D. (Education)

Area of Specialization: Policy Analysis, Decentralized Management and Educational Evaluation.

Prof. Jandhyala B.G. Tilak

Professor and Head, Department of Educational Finance

Ph.D. (Economics)

Area of Specialization: Economics of Education, Educational Planning and Financing.

Prof. (Ms) Sudha Rao*

Ph.D. (Education)

Area of Specialization: Planning and Management of Higher Education

Prof. (Ms) K. Sujatha*

Ph.D. (Educational Anthropology)

Area of Specialization: Educational Policy Analysis, Tribal Education & Comparative Education.

Prof. (Ms) Sudesh Mukhopadhyay

Professor and Head, Department of Educational Policy and In-charge,

Department of International Cooperation

Ph.D. (Education)

Area of Specialization: Special Education and Teacher Education

Prof. (Ms) Najma Akhtar

Professor and Head, Department of Educational Administration

Ph.D. (Education)

Area of Specialization: Educational Administration

Prof. Sudhanshu Bhushan

Professor and Head, Department of Higher Education

Ph.D. (Economics)

Area of Specialization: Policy Analysis and Planning of Higher Education

* On Leave

Prof. Arun C. Mehta

Professor and Head, Department of Operations Research and Systems Management

Ph.D. (Demographic Projections)

Area of Specialization: EMIS, Projections and Forecasting Techniques in Education.

Prof. (Ms) Nalini Juneja

Ph.D. (Education)

Area of Specialization: Educational Management

Prof. S.M.I.A. Zaidi

Professor and Head, Department of Educational Planning

Ph.D. (Sociology)

Area of Specialization: Decentralized Planning in Education

Dr. (Ms) Pramila Menon

Associate Professor and In-charge, Department of Sub-National Systems

Ph.D. (Education)

Area of Specialization: Decentralized Planning & Community Participation.

Dr. (Ms) Neelam Sood

Associate Professor

Ph.D. (Psychology)

Area of Specialization: Early Childhood Care and Education & Teacher Education

Dr. (Ms) Yazali Josephine

Associate Professor

Ph.D. (Economics of Education)

Area of Specialization: Economics of Education.

Dr. (Ms) Veera Gupta

Associate Professor

Ph.D. (Education)

Area of Specialization: Teacher Education.

Dr. (Ms) Vineeta Sirohi

Associate Professor

Ph.D. (Psychology)

Area of Specialization: Organizational Behaviour & Personnel Management.

Dr. B. K. Panda

Assistant Professor

Ph.D. (Educational Anthropology)

Area of Specialization: Education of the Disadvantaged and Comparative Education.

Dr. (Ms) Rashmi Diwan

Assistant Professor

Ph.D. (Education)

Area of Specialization: Educational Management.

Dr. K. Biswal

Assistant Professor

Ph.D. (Economics of Education)

Area of Specialization: Decentralized Planning in Education.

Dr. R.S. Tyagi

Assistant Professor

Ph.D. (Economics)

Area of Specialization: Educational Administration.

Dr. (Ms) P. Geetha Rani

Assistant Professor

Ph.D. (Economics)

Area of Specialization: Financing of Education.

Dr. (Ms) Neeru Snehi

Assistant Professor

Ph.D. (Education)

Area of Specialization: Planning and Management of Higher Education.

Dr. (Ms) Madhumita Bandyopadhyay

Assistant Professor

Ph.D. (Geography)

Area of Specialization: Planning and Management of School Education.

Dr. R. K. Murthy

Assistant Professor

Ph.D. (Psychology)

Area of Specialization: Organizational Behaviour.

Dr. V. P. S. Raju

Assistant Professor

Ph. D. (Education)

Area of Specialization: Comparative Education.

Dr. (Ms) Manju Narula

Research and Training Associate

Ph.D. (Education)

Area of Specialization: Educational Management.

Dr. (Ms) Sunita Chugh

Research and Training Associate

Ph.D. (Education)

Area of Specialization: Planning and Management of Education of the Disadvantaged.

Dr. S.K. Mallik

Research and Training Associate

Ph.D. (Sociology)

Area of Specialization: Planning and Management of School Education.

Dr. (Ms) Kausar Wizarat

Research and Training Associate

Ph.D. (Chemistry)

Area of Specialization: Planning and Management of Women's Education.

Mr. N. Reddy

Research and Training Associate

Area of Specialization: Financing of Education.

Dr. N.K. Mohanty

Research and Training Associate

Ph.D. (Economics)

Area of Specialization: Decentralized & Manpower Planning.

15. Administration and Support Services

Shri P. R. R. Nair

Registrar

Shri A. K. Khanduri

Administrative Officer

Shri J. K. Tripathi

Finance Officer

Shri S.R. Chaudhury

Section Officer

Academic Administration

Shri P. Mani

Section Officer

General Administration

Ms. Ujal Bahattacharya

Section Officer

Personnel Administration

Smt. Usha Sharma
Section Officer (Finance)

Shri Jayanand
Accountant

COMPUTER CENTRE

Shri K. Srinivas
System Analyst

Shri Naveen Bhatia
Computer Programmer

LIBRARY & DOCUMENTATION CENTRE

Mrs. Deepak Makol
Librarian

Dr. D.S. Thakur
Documentation Officer

PUBLICATION UNIT

Shri Pramod Rawat
Deputy Publication Officer

HINDI CELL

Dr. Subhash Sharma
Hindi Editor

TRAINING & CARTOGRAPHY CELL

Shri P.N. Tyagi
Cartographer (Computer Application)
and In-charge, Training Cell