

PROSPECTUS



**M. Phil. and Ph. D. Programmes, 2016 - 2017**



# Vision

*To evolve a humane learning society through advancement of knowledge*

# Mission

*To become a centre of excellence in educational policy, planning and management by promoting advanced level teaching, research and capacity building in national and global contexts*

# Genesis of NUEPA

*The National University of Educational Planning and Administration (NUEPA) has its origin dating back to 1962 when the UNESCO established the Asian Regional Centre for Educational Planners, Administrators and Supervisors which later became the Asian Institute of Educational Planning and Administration (AIEPA) in 1965. The AIEPA was later on converted into the National Staff College for Educational Planners and Administrators as its Asian Programs Division in 1973. Subsequently, with increasing role and functions of the National Staff College, particularly in capacity building, research and professional support services to the state governments, it was transformed into the National Institute of Educational Planning and Administration (NIEPA) in 1979. In recognition of the pioneering work done by the organization in the field of educational planning and administration, the Ministry of Human Resource Development, Government of India has empowered NUEPA to award degrees by way of conferring on it the status of University in August, 2006 under Section 3 of the UGC Act, 1956.*

## Message from the Vice-Chancellor

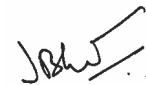
The National University of Educational Planning and Administration (NUEPA), established by the Ministry of Human Resource Development, Government of India, is a premier organization dealing with capacity building and research in planning and management of education.

The National University comprises eight Academic Departments and two Centres. It has an outstanding multi-disciplinary faculty and a library which is well stocked with a large number of books, national and international journals and official documents in the area of educational planning and administration.

In addition to its multifarious activities, the National University offers M.Phil. and Ph.D. programmes in educational policy, planning and administration from a broader inter-disciplinary perspective. The research programmes of NUEPA cover all levels and types of education from both national and international development perspectives.

NUEPA has extensive collaborative arrangements with reputed institutions within and outside India such as the International Institute of Educational Planning, UNESCO, Paris and also works closely with UNESCO, UNICEF, UNDP, World Bank and other international development agencies. Students thereby get the opportunity to interact with scholars visiting from various institutions from across the world.

We look forward to receiving young and bright scholars at the university who are committed to the pursuit of knowledge and excellence in education through research at M.Phil. and Ph.D. levels.



(Jandhyala B. G. Tilak)

January 31, 2016

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## 1. About the National University

The National University is engaged in capacity building and research and development in policy making, planning and administration of education. The university is financed by the Ministry of Human Resource Development, Government of India. Apart from other activities of relating to capacity development and professional support to public agencies in educational policy, planning and development, the University is also engaged in producing skilled manpower through its M. Phil. and Ph.D. programmes in Educational Policy, Planning and Administration.

The National University maintains a state of the art library, which caters to the needs of the scholars interested to work in the area of educational policy, planning and administration and related interdisciplinary subjects. The library provides efficient services to facilitate the faculty, researchers, administrators, policy makers and participants of its capacity building programmes in their academic endeavours. NUEPA library has a collection of more than 60,000 books. It regularly subscribes to about 240 Indian and foreign journals and periodicals. The library is fully computerized and it provides referencing services through Internet, ERIC and DELNET based virtual library mode.

The University's Documentation Centre has a wide and rich collection of more than 18,500 volumes on educational planning and administration. The Documentation Centre offers a unique collection of official reports, documents and other government publications such as state gazetteers, state census



International Participants

reports, world handbooks, educational surveys, five-year plans, etc. It also holds a rich collection of dissertations of the University's Diploma Course in Educational Planning and Administration (DEPA) and International Diploma Course in Educational Planning and Administration (IDEPA). It also has built up a Digital Archives with nearly 10,000 documents. The university is well equipped in terms of basic infrastructure to promote teaching and research. It has modern

classrooms, seminar halls and state of the art ICT labs with internet connectivity.

### Faculty

The University has highly qualified multi and inter-disciplinary faculty having rich national and international experience, supported by competent administrative and academic support staff.

## 2. M. Phil. and Ph. D. Programmes 2016-17

The National University offers M. Phil and Ph.D. programmes in Educational Policy, Planning and Administration from a broader inter-disciplinary social science perspective.

These programmes are designed to build the research capacity of scholars from varied backgrounds and provide a strong knowledge and skill base in related areas of educational policy, planning, administration and finance. The research studies completed under the M. Phil. and Ph. D. programmes are expected to make significant contributions in enriching the knowledge base, besides providing critical inputs for policy formulation, implementation of reform programmes and capacity building activities. The broad areas of research covering all levels and types of education are as under:



M. Phil. and Ph.D. Candidates taking Examination

- ◆ Educational Policy
- ◆ Educational Planning
- ◆ Educational Administration
- ◆ Educational Finance
- ◆ Educational Management Information System
- ◆ School Education
- ◆ Higher Education

Details within these broad areas are listed in Annexure-I.

The National University follows all mandatory provisions in the reservation policy of the Government of India for admission to its M.Phil. and Ph. D. Programmes.

## 3. Eligibility

### 3.1 M. Phil. Programme

- (a) Candidates seeking admission to the M.Phil. Programme shall have minimum of 55% marks (50% for SC/ST candidates and Persons with Disabilities) or its equivalent grade in Master's Degree in Social Sciences and allied disciplines from a recognized university. Candidates possessing Master's degree in other areas may also be considered if he/she has teaching experience or experience of working in the area of educational policy, planning and administration.
- (b) The NET qualified candidates, who have been awarded Junior Research Fellowships by the UGC, with the above-mentioned educational qualifications are encouraged to apply.
- (c) Candidates who are yet to clear their final examination at the Master's level are also eligible to apply **provided they pass the examination as per the eligibility conditions laid down at (a) above and submit its proof at the time of the entrance examination.**
- (d) In 2016-17, a maximum of 20 scholars shall be selected for admission to the M.Phil. and Ph.D. Programmes.

### 3.2 Ph. D. Programme

#### *a) Full-time*

1. The university also offers admission to Ph. D. Programme for candidates with M.Phil. Degree in educational policy, planning and administration. In addition to the qualifications mentioned in 3.1 above, candidates with exceptionally brilliant academic record in social sciences/allied areas coupled with publications of high quality are considered for admission in Ph.D. programme.
2. M.Phil. graduates of NUEPA will be eligible for admission to the Ph.D. Programme after due scrutiny by a Selection/Admission Committee, if they obtain a FGPA of 6 or above on the ten point scale.

### ***b) Part-time***

University also offers Part- time Ph. D. Programme based on the criteria as laid down in the rules of the university. A candidate seeking admission to Part-time Ph.D. programme is required to meet the following criteria:

- i. Should possess the educational qualifications as mentioned in Para 3.2 (1) above;
- ii. Currently, should be in full-time employment;
- iii. Should be a senior level educational functionary with a minimum of five years work experience in teaching/research or in educational policy, planning and administration.
- iv. Should be eligible for one year leave from his/her organization to do the course work.

## **4. Selection and Admission**

Candidates may apply in the prescribed form (separately for each programme) for admission to M. Phil. and Ph. D. programmes of the University along with three copies of the brief write-up on the proposed research topic on a contemporary issue within the broad framework of educational policy, planning and administration.

The Application Form can be obtained from NUEPA by remitting a non-refundable sum of Rs. 200/- (Rs. 100/- for SC/ST candidates) by demand draft in favour of Registrar, NUEPA, payable at New Delhi, and if required by post or purchased in person, through a request addressed to:

**The Registrar  
National University of Educational Planning and Administration  
(NUEPA)  
17-B, Sri Aurobindo Marg  
New Delhi-110016  
India**

The Prospectus can be downloaded from our website: [www.nuepa.org](http://www.nuepa.org)

The duly filled-in application along with the demand draft (required for downloaded forms) and three copies of the brief write-up on any one of the proposed area(s) of research in around 2500 words within the broad areas of educational policy, planning, administration and finance as per the outline



given below should be **sent by registered post to the Registrar so as to reach him latest by 13th May, 2016. The envelope should be distinctly marked as “Admission to M. Phil. or Ph.D. or Part- time Ph. D. Programme 2016”**. The filled-in application can also be submitted in person to the office of the Registrar. **Candidates in employment should send their application for admission through proper channel. The forwarding officer (proper channel) should state that the candidate, if selected, will be given one year leave to undergo Course Work at NUEPA, and time as required to attend seminars, and to carry out other work.**

The brief write-up should be structured around the proposed topic incorporating therein the importance of the topic, the analytical review of the existing literature on the chosen topic and the research issues proposed to be addressed by the candidate. In each area mentioned, the focus of the proposed write-up should specifically bear upon aspects related to educational policy, planning, administration and finance as the case may be. Suggested outline for the brief write-up is given as under:

- ◆ Title
- ◆ Statement of the problem/topic
- ◆ Rationale of the study, i.e. importance and need for the proposed study;
- ◆ Brief review of related literature;
- ◆ Objectives/research questions;
- ◆ Design of the study;
- ◆ Proposed methodology;
- ◆ Database and instruments for data collection (if any)
- ◆ Expected outcomes;
- ◆ Implications of the proposed research; and
- ◆ References.

Besides providing an idea regarding the area of research proposed to be pursued by the candidate, the write-up may also be used by the University as one of the parameters for initial short-listing of applications. It may be underlined that applications with the brief write-up not related to educational policy, planning and administration shall be rejected in the initial stage of screening. (List of broad areas of research is given on page 18).



Computer Lab

Only the short-listed candidates will be called for written test and interview through which the University will assess the research aptitude, general awareness of the candidate about the educational issues, and chosen topic, comprehension of research literature and ability to interpret data and draw inferences.

## 5. Programme Duration

### 5.1 M. Phil. Programme

Under this programme, the University offers M. Phil degree in Educational Policy, Planning and Administration of 2 years of duration which comprises one year of compulsory course work followed by one year for dissertation work.

Of the scholars who successfully complete the M. Phil. programme of the University and meet the prescribed criteria (presently FGPA of 6 or above on the ten point scale) are eligible to apply for admission to the Ph. D. programme. Such scholars are eligible to submit their Doctoral thesis only after two years of registration for the Ph. D. programme.

### 5.2 Ph. D. Programme

#### *a) Full-time*

It is mandatory for all the scholars admitted to the Ph. D. Programme (except those who have obtained M. Phil. degree from NUEPA) to complete the one year course work before confirmation of their Ph. D. registration after which they are eligible to submit their thesis only after 2 years from the date of confirmation for registration to the Ph.D. programme.

#### *b) Part-time*

Part-time Ph.D. scholar would be eligible for submission of his/her Ph. D. thesis after a minimum period of four years from the date of registration for the Ph.D. programme. It is mandatory for all the scholars admitted to the part-time Ph. D. programme to complete one year course work before confirmation of their Ph.D. registration.

#### **Notes:**

- (a) Scholars of M. Phil. and Ph.D. Programmes are expected to be present in the University for the whole duration of the programme. The programmes shall commence from **July 18, 2016**.

- (b) Part-time Ph. D. scholars are also expected to be available in the campus very often for limited periods to attend seminars and discussions, besides attendance at the course work to fulfil the academic requirements of the programme as prescribed in the rules and regulations of the university.
- (c) A scholar, in consultation with his/her supervisor(s), will have to identify, prepare and submit the revised research synopsis latest by the end of the first year of his/her programme of study. This will be a necessary condition for confirming registration of the full-time scholars. If he/she fails to finalize in proposal and complete the registration process within a reasonable period, his/her admission shall be cancelled.
- (d) Once confirmed as a full-time scholar, he/she shall work on the approved research topic for a period of one year to be eligible to submit his/her M. Phil. Dissertation, and minimum two years after registration in the Ph.D. programme, to be eligible to submit his/her Ph. D. thesis.
- (e) The scholar shall submit through his/her supervisor(s) four copies of the M. Phil. Dissertation along with four copies of the abstract within 12 months from the date of confirmation of registration to the M. Phil. programme.
- (f) The scholar shall submit through his/her supervisor(s) five copies of the Ph. D. thesis not prior to two years (for Full-time scholars) and four years (for Part-time scholars) from the date of registration to the Ph.D. programme. Besides, the scholar shall also submit five copies of the abstract of the thesis in not more than 2500 words. He/she shall also be required to make a presentation and defend his/her research work in a seminar, at least three months prior to submission of the thesis.
- (g) Both M.Phil and Ph.D. programmes will be governed by the rules and regulations of the National University of Educational Planning and Administration.



Library

## 6. Fees and Fellowships

### 6.1 Fee Structure

Fee	Amounts (in ₹)	Remarks
<b>A. Fees</b>		
Admission Fee	3,000.00	Payable at the time of admission
Course Fee (Annual)	3,000.00	Payable at the time of admission
<b>Programme Fee</b>	1500.00	Payable per annum by all M.Phil. & Ph.D. Scholars (including Part-time Ph.D.) from second year onwards
Course Evaluation Fee	1,000.00	Payable at the time of admission
Thesis/Dissertation Submission Fee	Ph. D. 3,000.00 M. Phil. 1,000.00	Payable at the time of submission of thesis/Dissertation
Thesis/Dissertation Re-submission Fee	Ph. D. 500.00 M. Phil. 300.00	Payable along with the request form
Award of Provisional Certificate	500.00	Payable along with the request form
Award of Degree	500.00	Payable along with the request form
Issue of Duplicate Degree	300.00	Payable along with the request form
<b>B. Caution Money (Refundable)</b>		
Library	2,000.00	Payable at the time of admission
Computer Centre	2,000.00	Payable at the time of admission

Note: The fee structure mentioned above is subject to change as per the decision of the University.

### 6.2 Fellowships

Candidates admitted for the M. Phil. and Full-time Ph. D. programmes will be provided fellowship by the University. Candidates who have qualified the National Eligibility Test (NET) with Junior Research

Fellowships awarded by the UGC will draw the same through the National University as per the norms and procedures laid down by the UGC. However, the continuation of the fellowship shall be subject to the satisfactory progress report of the scholars duly approved by his/her supervisor.

The scholars of the M. Phil. and Ph.D. programmes would be required to provide part-time assistance to NUEPA faculty in research and training programmes of the National University.

## 7. Programme Structure

### 7.1 M.Phil. and Ph. D. Programmes

M.Phil. will be offered in two parts:

Part I	Course work
Part II	Dissertation work

Ph.D. programmes (Full-time & Part-time) will be offered in two parts:

Part I	Course work
Part II	Thesis work

### Course Work

Course work which is of one year duration comprises of two semesters. Seven Compulsory courses are of four credits each and two Optional courses (to be opted from a list of sixteen courses) are of two credits each and Summer Internship/ProjectWork is of four credits; totalling to 36 credits.

Courses	Title	Credits
CC-1	Perspectives on Education	4
CC-2	Education in India	4
CC-3	Research Methodology-I	4
CC-4	Educational Policy	4
CC-5	Research Methodology-II	4
CC-6	Educational Planning	4
CC-7	Educational Administration and Management	4

The first four Compulsory Courses will be transacted in the first semester, while the rest three Compulsory Courses and two Optional Courses will be transacted in the second semester.

### Dissertation

After the successful completion of course work, the M. Phil. scholars are required to work for the Dissertation, from the beginning of the second year, which will lead to M. Phil. degree.

### Thesis

The scholars registered for Ph. D. Programmes will work on an approved topic and submit the thesis under an identified supervisor. After satisfactory evaluation, successful scholars shall be awarded Ph. D. degree.



Ph.D. Scholars

## 8. Evaluation

The course work of the M. Phil. and Ph. D. programmes will be assessed through continuous and comprehensive evaluation (CCE) which will be based on multiple sources, criteria and techniques of assessment. It would provide enough feedback and space for improvement. Scheme of evaluation will involve assessment on the basis of seminar presentation, term paper, book review, field attachment, etc. At the end of the course work, there shall be a written examination.

The M. Phil. dissertation will be evaluated by both the internal and external examiners, which will be followed by viva-voce examination.

Ph.D. Thesis shall be evaluated by two external examiners, ordinarily belonging to the universities/institutions from within India, in special cases one of them may be from outside India. This shall be followed by viva-voce examination of the scholar conducted by both the external examiners.

## 9. Provision in the Event of Discontinuation

**9.1** In the event of a student discontinuing the programme after the completion of Part I of the M.Phil. Course, he/she may be permitted to leave after due consideration by the authorities of the University with a certificate stating the credits earned in Part I of the course. Such a candidate may choose to return to the course later within three years to complete the M.Phil. Programme; in which case he/she may be exempted from undertaking Part I of the course again.

**9.2** In the event of a full-time Ph.D. student discontinuing the programme after completing the pre-Ph.D. Course, he/she may be permitted to discontinue after due consideration by the

authorities of the university. In such an event, the student may be given a certificate stating the credits earned by him/her in the Pre-Ph.D. Course.

- 9.3** If a student discontinues after successful completion of the Pre-Ph.D. Course and confirmation of the Ph.D. topic, he/she may be allowed to return to the programme later within three years, after seeking readmission, to complete the Ph.D. programme.

## 10. Programme Calendar\*

1.	Last date for the receipt of application	13 <sup>th</sup> May 2016
2.	Short listing and screening of applications	03 <sup>rd</sup> June 2016
3.	Written test	18 <sup>th</sup> June 2016
4.	Personal interviews	20-21 <sup>st</sup> June 2016
5.	Declaration of final results	30 <sup>th</sup> June 2016
6.	Last date for admission	11 <sup>th</sup> July 2016
7.	Beginning of Classes	18 <sup>th</sup> July 2016

\*Changes if any will be intimated through the NUEPA website: [www.nuepa.org](http://www.nuepa.org)

## 11. General Conditions

- (i) A scholar admitted for M. Phil. and Ph. D programmes of the National University shall not be allowed to pursue any other course (full-time or part-time) leading to a degree or diploma. Such concurrent activity, if brought to notice, shall call for cancellation of admission to the M. Phil. and Ph. D programmes and disciplinary action.
- (ii) A full-time scholar shall not be permitted to apply for or take up any employment during the duration of the course of the M. Phil./Ph. D.
- (iii) Candidates who are already in employment shall have to submit 'No Objection Certificate' from their respective employers while applying for any of the M. Phil. and Ph. D. Programmes of the University, along with assurance of leave for necessary period.
- (iv) Scholars shall have to clear all dues before submission of the M.Phil. Dissertation and Ph. D. Thesis.
- (v) Scholars of the M. Phil. and Ph. D programmes of the National University shall have to observe the condition of residence in Delhi during the respective programmes. However, in connection with the research work/field work, they may be allowed to go out of station with the prior permission of the supervisor(s).
- (vi) All scholars shall be subject to the rules and regulations of NUEPA regarding leave and other aspects.

## Vice-Chancellor

### Professor Jandhyala B. G. Tilak (I/c)

Ph. D. (Economics)

Area of Specialization: Economics of Education, Educational Policy,  
Planning and Finance

e-mail: vc@nuepa.org

## Faculty

### Department of Educational Planning

**Professor S.M.I.A. Zaidi**, *Professor and Head*

Ph. D. (Sociology)

*Area of Specialization:* Decentralized and Micro-Planning in Education, Methodology and Techniques of Planning and Appraisal and Supervision of Educational Projects and Programmes.

e-mail: smiazaidi@nuepa.org

Phone: 26544838

**Professor K. Biswal**, *Professor*

Ph. D. (Economics of Education)

*Area of Specialization:* Economics of Education, Decentralized Planning in Education

**Dr. P. Geetha Rani**, *Associate Professor*

Ph. D. (Economics)

*Area of Specialization:* Financing of Education

**Dr. N. K. Mohanty**, *Assistant Professor*

Ph. D. (Economics)

*Area of Specialization:* Economics of Education, Decentralized Planning in Education

**Dr. Suman Negi**, *Assistant Professor*

Ph. D. (Population Studies)

*Area of Specialization:* Educational Participation, Access and Mobility

### Department of Educational Administration

**Professor K. Sujatha**, *Professor and Head*

Ph. D. (Educational Anthropology)

*Area of Specialization:* Educational Administration and School Management, Policy Analysis and Education of the Disadvantaged Groups

e-mail: ksujatha@nuepa.org

Phone: 26544837

**Professor Kumar Suresh**, *Professor*

Ph. D. (Federal Studies)

*Area of Specialization:* Federalism and Multi-Level Governance of Education, Educational Policy and Diversity and Equity Management

**Dr. Vineeta Sirohi**, *Associate Professor*

Ph. D. (Psychology)

*Area of Specialization:* Educational Psychology and Organizational Behaviour and Personnel Management

**Dr. R. S. Tyagi**, *Associate Professor*

Ph. D. (Economics)

*Area of Specialization:* Educational Administration and Instructional Leadership

**Dr. Manju Narula**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Educational Management

**Dr. V. Sucharita**, *Assistant Professor*

Ph. D. (Anthropology)

*Area of Specialization:* School Culture and Education

### Department of Educational Finance

**Professor Jandhyala B. G. Tilak**, *Professor and Head*

Ph. D. (Economics)

*Area of Specialization:* Economics of Education, Educational Policy, Planning and Financing

e-mail: edufin@nuepa.org; Phone: 26544819

**Professor Mona Khare**, *Professor*

Ph. D. (Economics)

*Area of Specialization:* Regional Planning in Economic Growth

**Dr. Vetukuri P. S. Raju**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Financing of Education

### Department of Educational Policy

**Professor Avinash K. Singh**, *Professor and Head*

Ph. D. (Education)

*Area of Specialization:* Policy Analysis and Programme Evaluation, Decentralized Educational Management, Tribal Education

**Dr. Manisha Priyam**, *Associate Professor*

Ph.D (London School of Economics and Political Science)

*Area of Specialization:* Policy Analysis and Reforms; Higher Education; Decentralisation; Urban Policy; Social Protection

**Dr. S. K. Mallik**, *Assistant Professor*

Ph. D. (Sociology)

*Area of Specialization:* Planning and Management of School Education

**Dr. Naresh Kumar**, *Assistant Professor*

Ph.D (Sociology of Education)

*Area of Specialization:* Education and Occupational Mobility, Political Economy of Education



**Department of School and Non-Formal Education****Professor Nalini Juneja**, *Professor and Head*

Ph. D. (Education)

*Area of Specialization:* Educational Management

e-mail: naliniJuneja@nuepa.org

Phone: 26544885

**Professor Neelam Sood**, *Professor*

Ph. D. (Psychology)

*Area of Specialization:* Gender, Early Childhood Care and Education and Nutrition

e-mail: profsood@gmail.com

Phone: 26544853

**Professor Pranati Panda**, *Professor*

Ph. D. (Education)

*Area of Specialization:* Teacher Education, Comparative Education, Policy Analysis and Institutional Evaluation**Professor Rashmi Diwan**, *Professor*

Ph. D. (Education)

*Area of Specialization:* Educational Management**Dr. Madhumita Bandyopadhyay***Associate Professor*

Ph. D. (Geography)

*Area of Specialization:* Planning and Management of School Education**Dr. Kashyapi Awasthi**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* School Leadership Development**Department of Higher & Professional Education****Professor Sudhanshu Bhushan**, *Professor and Head*

Ph. D. (Economics)

*Area of Specialization:* Policy Analysis and Planning of Higher Education

e-mail: sudhanshu@nuepa.org

Phone: 26544844

**Dr. Aarti Srivastava**, *Associate Professor*

Ph. D. (Economics of Education)

*Area of Specialization:* Education of the Disadvantaged and Teacher Education**Dr. Neeru Snehi**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Planning and Management of Higher Education**Dr. Sangeeta Angom**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Higher Education**Department of Educational Management Information System****Professor Arun C. Mehta**, *Professor and Head*

Ph. D. (Demographic Projections)

*Area of Specialization:* EMIS, Projections and Forecasting Techniques in Education

e-mail: arunmehta@nuepa.org

Phone: 26544887

**Mr. A. N. Reddy**, *Assistant Professor**Area of Specialization:* Financing of Education**Department of Training and Capacity Building in Education****Professor Najma Akhtar**, *Professor and Head*

Ph. D. (Education)

*Area of Specialization:* Educational Administration & Minority Education

e-mail: najmaakhtar@nuepa.org

Phone: 26544839

**Professor B.K. Panda**, *Professor*

Ph. D. (Educational Anthropology)

*Area of Specialization:* Education of the Disadvantaged and Comparative Education**Dr. Savita Kausal**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Distance Education, Vocational Education and Early Childhood Care and Education**Dr. Mona Sedwal**, *Assistant Professor*

Ph. D. (History of Education)

*Area of Specialization:* Education for All (EFA), Education of Disadvantaged Groups, Teacher Education and Higher education**National Centre for School Leadership****Professor Rashmi Diwan**, *Head*

Ph. D. (Education)

*Area of Specialization:* Educational Management

e-mail: rashmidivan@nuepa.org

Phone: 26544890/891/892

**Dr. Sunita Chugh**, *Associate Professor*

Ph. D. (Education)

*Area of Specialization:* Planning and Management of Education of the Disadvantaged**Dr. Kashyapi Awasthi**, *Assistant Professor and Asstt. Hostel Warden*

Ph. D. (Education)

*Area of Specialization:* School Leadership Development

Phone: 26544903/971/974 (Hostel Warden)

**Dr. G.V. Subhita**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Teacher Education, Elementary Education**Dr. N. Mythili**, *Assistant Professor*

Ph. D. (Education)

**Centre for Policy Research in Higher Education****Professor N.V. Varghese**, *Director*

Ph. D. (Educational Planning)

*Area of Specialization:* Planning and Higher Education

e-mail: nvvarghese@nuepa.org

Phone: 26544802

**Dr. Nidhi Sabharwal**, *Associate Professor*

Ph. D. (Geography)

*Area of Specialization:* Access and Equity in Higher Education, Education of the disadvantaged groups**Dr. Anupam Pachauri**, *Assistant Professor*

Ph. D. (Education)

*Area of Specialization:* Teacher Education, Quality Issues in Higher Education**Dr. Garima Malik**, *Assistant Professor*

Ph. D. (Economics)

*Area of Specialization:* Governance and Management of Higher Education

**Dr. Jinusha Panigrahi**, *Assistant Professor*

M. Phil. / Ph. D. (Economics of Education)

*Area of Specialization:* Financing of Higher Education, Internationalisation of Higher Education

**Dr. Malish C. M.**, *Assistant Professor*

Ph. D. (Sociology)

*Area of Specialization:* Equity, Education Experience, Institutional Culture

**Dr. Sayontan Mondal**, *Assistant Professor*

Ph. D. (Education Policy)

*Area of Specialization:* Higher Education Teaching and Learning

**School Standards & Evaluation Unit****Professor Pranati Panda**, *Head*

Ph. D. (Education)

*Area of Specialization:* Teacher Education, Comparative Education, Policy Analysis and Institutional Evaluation

**Dr. Veera Gupta**, *Associate Professor*

Ph. D. (Vocationilisation of Education)

*Area of Specialization:* Teacher Education

**Project Management Unit****Professor K. Biswal**, *Head*

Ph. D. (Economics of Education)

e-mail: kkbiswal@nuepa.org

Phone: 26544861

**Professor K. Srinivas**

Ph. D. (Computer Science)

**Maulana Abul Kalam Azad Chair****Professor S. Irfan Habib**

Ph. D. (History)

*Area of Specialization:* History of Science & Science Education

e-mail: irfan@nuepa.org

Phone: 26544888

**Advisor (IAIEPA Project)****Professor K. Ramachandran**

e-mail: kramachandran@nuepa.org

Phone: 26544884

**ICSSR Senior Fellow****Professor A. Mathew**

Ph. D. (History of Education)

e-mail: mathanthony@gmail.com

Phone: 26544810

## Administration and Support Services Staff

### Registrar

**Mr. Basavaraj Swamy**

e-mail: registrar@nuepa.org  
Phone: 26544818

### Finance and Accounts

**Mrs. Usha Thayagarajan**, *Finance Officer*

e-mail: ushad@nuepa.org  
Phone: 26544834

**Mr. Chandar Prakash**, *Section Officer*

e-mail: chanderprakash@nuepa.org  
Phone: 26544824/821

### General and Personnel Administration

**Mr. G. Veerabahu**, *Administrative Officer*

e-mail: ao@nuepa.org  
Phone: 26544833

**Mr. Jai Prakash Dhani**

*Section Officer (Gen. Admin.)*

e-mail: jp@nuepa.org  
Phone: 26544874

**Mr. B.R. Pawha**

*Section Officer I/C (Pers. Admin.)*

Phone: 26544832

### Academic Administration

**Mr. P. P. Saxena**, *Section Officer*

e-mail: ppsaxena@nuepa.org  
Phone: 26544831

### M.Phil. - Ph.D. Cell

**Mrs. Rambha Kapoor**

Phone: 26544823

### Library and Documentation Centre

**Ms. Puja Singh**, *Librarian*

e-mail: pujasingh@nuepa.org  
Phone: 26544811

**Dr. D.S. Thakur**, *Documentation Officer*

e-mail: dsthakur@nuepa.org  
Phone: 26544846

### Computer Centre

**Professor K. Srinivas**, *Head*

e-mail: drksvasu@gmail.com  
Phone: 26544883

**Mr. Naveen Bhatia**, *Computer Programmer*

e-mail: naveenbhatia@nuepa.org  
Phone: 26544848

### Publication Unit

**Mr. Pramod Rawat**, *Deputy Publication Officer*

e-mail: publications@nuepa.org or  
pramodrawat@nuepa.org  
Phone: 26544875

### Hindi Cell

**Dr. Subhash C. Sharma**, *Hindi Editor*

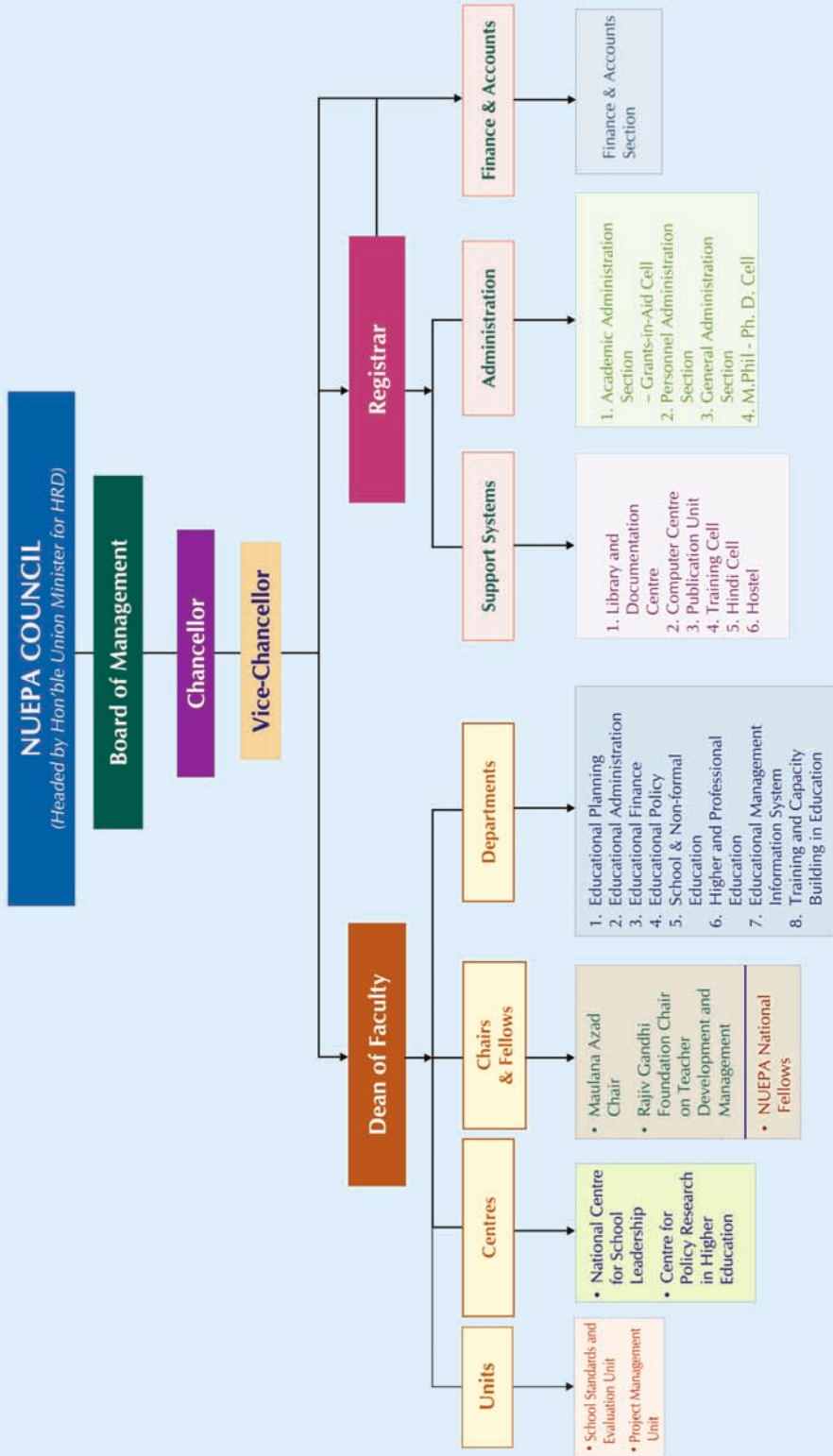
e-mail: subhash@nuepa.org  
Phone: 26544876 (Hindi Cell)

## Annexure I

### Broad Areas of Research

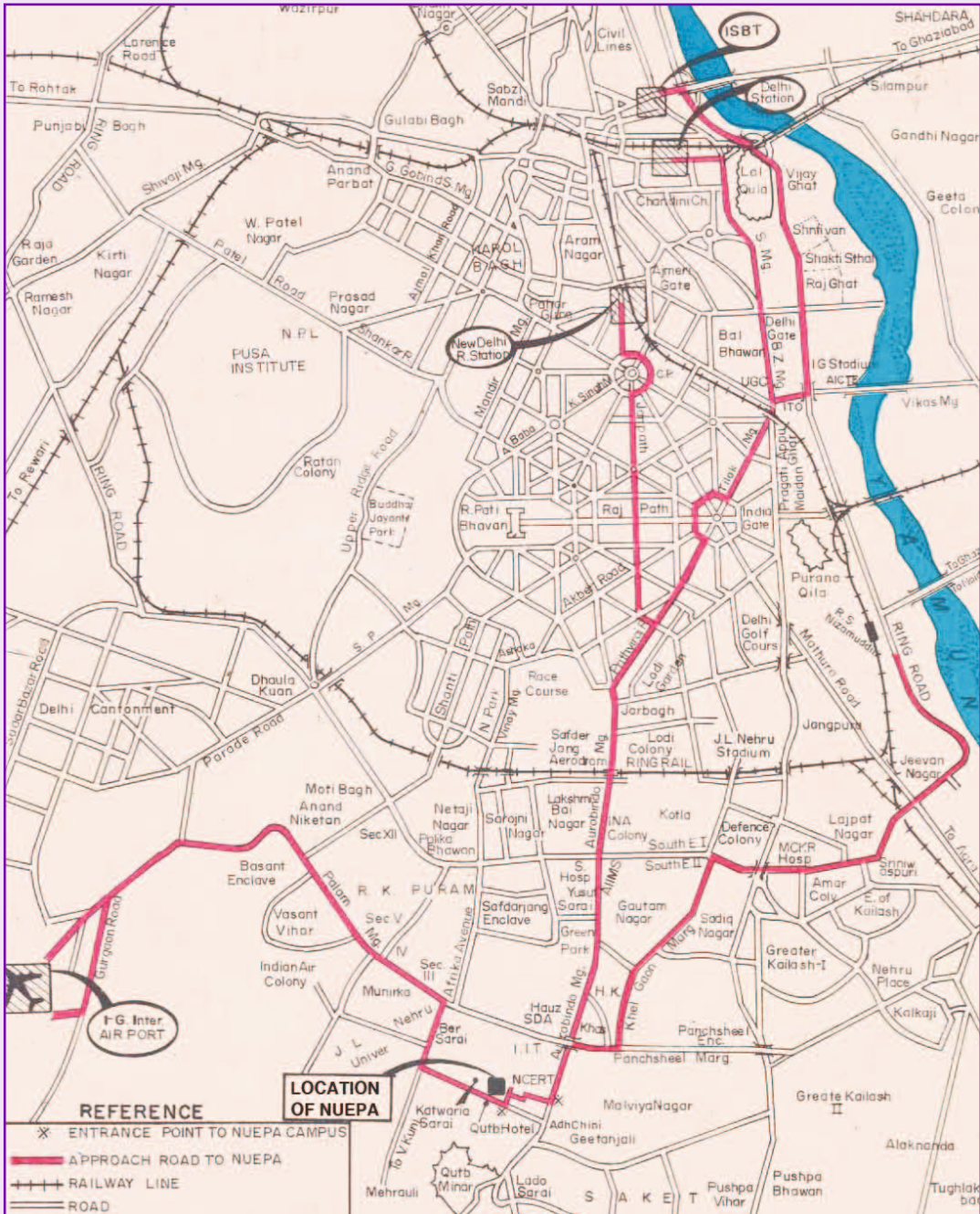
1. Access Quality and Equity in education
  - Growth of education and development
  - Quality of education, learning levels, teachers, infrastructure, ICT
  - Inequalities in education
    - » Gender, social group, economic groups
    - » Inclusive education
2. Education Policy Analysis and implementation
  - Critical Review of Acts, Policies, plans and schemes in Education
3. Educational Development: Inter-Sectoral linkages
  - Education and economic growth, poverty, inequality and development
  - Education, Health and Nutrition
  - Education and labour market/employment/migration
  - Education, Democracy and Human Rights
4. Educational Planning
  - Demand for education
  - Manpower planning
    - » Planning and management of teachers and others human resources
    - » Supply and demand of teachers
  - Rate of return to education
  - Decentralized Planning, Governance and Community Participation in Education
5. Public, Private and External Financing of Education
  - Private public Partnership in education
  - Alternative methods of financing education and their effects on access , equity and quantity in education
6. Education, Gender and Development
7. Comparative Education
8. Globalisation, Internationalisation of Education and Development
9. Public Administration and Education
  - Central, state and local bodies
  - School Leadership and Organisational Development
10. Governance, Autonomy, Accountability
  - Governance at the system level, Governance of institutions
  - Institutional autonomy
  - Accreditation and Assessment
  - Management of public and private institutions
11. Professional Ethics and Value
12. Education, Literacy and Lifelong Learning
13. Early Childhood Care and Education
14. Skill Development, Training, Vocational and Technical Education
  - Self Employment related Course in Higher Education

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17-B, Sri Aurobindo Marg, New Delhi – 110 016, INDIA

EPABX: 91-11-2656 5600, 2654 4800

Fax: 91-11-2685 3041, 2686 5180

E-mail: [nuepa@nuepa.org](mailto:nuepa@nuepa.org)

Website: [www.nuepa.org](http://www.nuepa.org)