

# Prospectus

## Ph. D. Programme



**National University of Educational Planning and Administration**  
(Declared by the GOI under Section 3 of the UGC Act, 1956)  
**17-B, Sri Aurobindo Marg, New Delhi – 110 016, INDIA**  
[www.nuepa.org](http://www.nuepa.org)

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# **Prospectus for Ph. D. Programme**

## **1. About NUEPA**

The National University of Educational Planning and Administration (NUEPA), established by the Ministry of Human Resource Development, Government of India, is a premier organization dealing with capacity building and research in planning and management of education not only in India but also in South Asia. In recognition of the pioneering work done by the organization in the field of educational planning and administration, the Government of India have empowered it to award its own degrees by way of conferring it the status of Deemed to be University in August, 2006. Like any Central University, NUEPA is fully maintained by the Government of India.

The National University has its origin dating back to 1962 when the UNESCO established the Asian Regional Centre for Educational Planners and Administrators which later became the Asian Institute of Educational Planning and Administration in 1965. After 4 years of its existence, it was taken over by the Government of India and renamed as the National Staff College for Educational Planners and Administrators. Subsequently, with the increased roles and functions of the National Staff College, particularly in capacity building, research and professional support services to governments, it was again renamed as the National Institute of Educational Planning and Administration (NIEPA) in 1979.

The National University comprises nine Departments, which are : (i) Department of Educational Planning; (ii) Department of Educational Administration; (iii) Department of Educational Policy; (iv) Department of Educational Finance; (v) Department of School and Non-formal Education; (vi) Department of Higher Education; (vii) Department of International Cooperation; (viii) Department of Sub-national Systems; and (ix) Department of Operations Research and Systems Management. It has an outstanding multi-disciplinary faculty and a library which is well stocked with a large number of books, national and international journals and official documents in the area of educational planning and administration.

## **2. Ph. D. Programme, 2007-08**

In addition to its multifarious activities, the National University offers both full-time and part-time Ph. D Programmes in educational policy, planning, finance and administration from a broader inter-disciplinary social science perspective. Besides, the focused areas of research will cover school education, higher

education, inclusive education, constitutional right and law, management information system, etc. from both national and international development perspectives.

### **3. Eligibility and Admission**

NUEPA will admit ten full-time and an equal number of part-time candidates under its Ph. D. Programme, 2007-08. The National University will follow all mandatory provisions of the reservation policy of the Government of India.

#### ***3.1 Eligibility Conditions for Full-Time Ph. D. Programme***

- (i) A candidate seeking admission to the full-time Ph. D. programme shall have a minimum of 55% marks (50% for SC/ST candidates) or its equivalent grade in the Master's Degree in Education, Social Sciences, Commerce, Sciences, Management, Law and allied disciplines from a recognized university.
- (ii) Candidates who are yet to clear their final examination at the Master's level are also eligible to apply provided they pass the examination as per eligibility conditions already laid down.
- (iii) Candidates who have qualified in the National Eligibility Test (NET) and have been awarded Junior Research Fellowships by the UGC-CSIR, with the above-mentioned educational qualifications, are also eligible to apply for Ph.D. Programme.

#### ***3.2 Eligibility Conditions for Part-Time Ph. D. Programme***

- (i) In addition to 3.1(i) above, the **part-time candidates** should have at least two years of teaching/research experience in educational planning and/or administration.
- (ii) Government officials working in the field of educational planning and administration, with a minimum of 2 years of experience are also eligible for admission to the part-time Ph. D. programme.

#### ***3.3 Selections and Registration***

Registration for the full-time Ph. D. programme shall be made once in a year. An exception can be made provided there are compelling reasons for doing so. Every candidate shall apply for admission to the Ph. D.

programme in the prescribed form. The form can either be downloaded from the National University's **website, [www.nuepa.org](http://www.nuepa.org)** or obtained through a request along with a self-addressed stamped (Rs.20.00) envelope, addressed to:

**The Registrar  
National University of Educational Planning and  
Administration  
17-B, Sri Aurobindo Marg, New Delhi-110016, India**

The duly filled in application along with 3 copies of a brief write-up on the proposed research topic (around 2500 words), which the candidate desires to pursue, should be sent to the Registrar by 15<sup>th</sup> December, 2006 for registration in January, 2007. Should the NUEPA so decide this date can be changed to 30<sup>th</sup> May, 2007 for registration in July, 2007.

The candidates are advised to develop their research proposals on any one of the areas indicated in Section-2. Besides providing an idea regarding the area of research, the write-up will also be used by the University for initial short-listing of applications. The short listed candidates will be called for test/interview/seminar presentation.

A candidate interested in part-time Ph.D. programme, depending on his/her area of interest, may contact any of the faculty members of NUEPA at any time during the year to seek help to develop the Ph.D. synopsis and submit it through the concerned faculty to the Registrar of the National University for registration. However, the part-time candidates shall undergo the same procedure for registration as laid down for the full-time Ph.D. candidates.

#### **4. Programme Duration**

A candidate enrolled in the Ph. D. programme shall be required to submit his/her thesis not earlier than two years but not later than four years from the date of confirmation of registration. The maximum duration of the Ph. D. Programme is 10 Semesters or 5 years. The Board of Studies, in exceptional cases, may grant an extension of a period of one year on the recommendation of the supervisor(s). However, for the part-time candidates, the maximum time allowed for submission of Ph.D. thesis will be 7 years from the date of registration.

#### **5. Management**

The research studies completed under the programme are expected to make significant contribution in enriching the knowledge base, besides providing

critical inputs for policy formulation, implementation of reform programmes and capacity building activities. The intention is to make it possible for different types of students to get involved into research in the area of educational planning and administration. It would be open to NUEPA to assign any student to undergo practical training in an appropriate organization for a period of four to six weeks. The expenses incurred in this connection would be met by NUEPA.

Given the basic objective of the programme, the selected candidate shall have to work under the supervision of one of the NUEPA faculty. However, in special cases, the candidate may be allowed to have a co-supervisor from outside NUEPA from any recognized University/Institution, including people of eminence in the field of education.

In order to provide an effective research foundation, the Ph. D. candidates will be suitably oriented in research methodology and key aspects of educational planning and administration during the first three months of the First Semester. Simultaneously, they will have to attend tutorials as decided by their respective supervisor(s), which will help them identify their Ph. D. topic, and subsequently, develop the synopsis. The candidate, in consultation with the supervisor(s), will have to identify and prepare the initial draft of the Ph. D. synopsis latest by the end of the First Semester.

The candidate will be required to present the draft synopsis in the faculty seminar to be organized during the last week of the First Semester. The basic purpose of this exercise is to have a specific feedback to improve the synopsis. The candidate will present the revised Ph. D. synopsis in a faculty seminar to be organized during the 3<sup>rd</sup> month of the Second Semester. The final version of the synopsis, duly approved by the supervisor(s) has to be submitted latest by the end of the Second Semester. This will be a necessary condition for confirming the registration of the full-time Ph. D. candidate.

Once the candidate has been confirmed as a full-time researcher, the candidate shall have to work for a minimum period of two years to be eligible to submit his/her Ph. D thesis.

For the part-time candidates, attendance of the three-month Pre-Ph. D. Orientation Course will be optional. All other conditions as applicable to full-time Ph. D. candidate shall equally apply to part-time candidates.

On completion of the Ph.D. programme, the candidate shall submit through his/her supervisor(s) five copies of the thesis not later than four years (for full-time candidates) and six years (for part-time candidates) from the date of confirmation of registration for the Ph. D. programme. Besides, the candidate shall also submit five copies of the abstract of the thesis in not more than 2000 words. He/she shall also be required to make a presentation of the research work in a seminar, at least three months prior to submission of the thesis.

## **6. Evaluation and Award of Degree**

The thesis will be sent to two external examiners for evaluation. The examiners will be appointed by the Vice-Chancellor from a panel of experts suggested by the supervisor(s).

If both the external examiners recommend that the thesis be accepted for award of the degree, the candidate would be asked to defend the thesis in an open viva-voce to which the other members of the faculty can also attend if they so desire. The viva-voce will be convened by the supervisor(s) and conducted by one of the external examiners who has evaluated the thesis. On the successful completion of the viva-voce, the candidate will be recommended for the award of the Ph. D. degree.

If one of the two external examiners recommends for revision of the thesis, the candidate shall have to do so and resubmit it not earlier than 6 months. In case, the thesis is resubmitted after revision, it should be sent to the same examiner. If, for some reasons, the external examiner, who had recommended for revision of the thesis, is not available for evaluation of the revised thesis, it should be sent to the third external examiner who shall be appointed by the Vice-Chancellor from within the approved panel. If one of the external examiners rejects the thesis, the matter shall be placed before the Board of Studies, whose decision will be final and binding for the candidate.

## **7. Fees and Fellowships**

### **7.1 *Payment of Fees***

The candidate admitted to the Ph. D. programme shall be required to pay the following fees:

- Admission fee at the time of registration;
- Caution money at the time of registration;
- Course fee at the time of registration;
- Library fee at the time of registration; and
- Examination fee at the time of submitting the thesis.
- Each Ph. D. student shall pay the course fee in two installments at the beginning of each academic semester, i.e., in January and July.
- The student, who fails to pay an installment of the course fee by the prescribed date, shall be removed from the Ph. D. rolls. He/she may, however, be re-admitted on payment of the prescribed fee along with the late payment charges.

## 7.2 *Fee Structure*

The following will be the structure and frequency of payment of the fees and other charges for the Ph.D. programme:

**Table-1: Fees to be paid during the Ph. D. programme**

Fee	Amount (In Rs.)	Remarks
<b>A. Fees</b>		
Admission Fee	3,000.00	Payable at the time of admission
Course Fee (Annual)	3,000.00	Payable in two installment
Thesis Submission	1,000.00	Payable three months before the submission
Thesis re-submission	500.00	Payable at the time of re-submission
Degree/Certificate replacement	500.00	Payable along with the application for issuing a duplicate certificate
<b>B. Refundable Deposits</b>		
Library	1000.00	Payable in the beginning at the time of registration
Computer Centre	500.00	Payable in the beginning at the time of registration
Caution Money	1000.00	Payable in the beginning at the time of registration

Note: The course fee includes Tuition, and Identity Card fees.

## 7.3 *Fellowships*

The National University will award up to 10 Fellowships for the full-time Ph.D. programme. These fellowships will be paid directly by the National University as per the UGC norms @ Rs. 8,000/- per month for the first two years from the date of admission with a contingency grant of Rs. 10,000/- per annum. For the remaining period, and for a maximum of three years or the submission of the thesis whichever is earlier, an amount of Rs. 9,000/- per month and a contingency grant of Rs. 20,000/- per annum will be given. NET qualified candidates with fellowships awarded by the UGC-CSIR will draw the same from those organizations as per the norms and procedures laid down by them.

However, the continuation of the fellowship shall be subject to satisfactory progress report of the candidate duly approved by the supervisor(s) in each semester.



## 8. Course Outline for the First Semester

### 8.1 *Pre-Ph. D. Orientation Course (10 Credits)*

Three-month Pre-Ph. D. Orientation course work (i.e. 13 weeks) will have the following four papers to be covered in sessions mentioned against each paper. There will be eight lecture-discussion sessions per week.

<b>Paper</b>	<b>Title</b>	<b>Allotted Sessions (one and half hrs each)</b>	<b>Credits</b>
1.	Research Methodology and Statistics	40	4.0
2.	Educational Policy and Planning	20	2.0
3.	Educational Administration	20	2.0
4.	Education and Development	20	2.0

### 8.2 *Evaluation of the Pre-Ph. D. Orientation Course*

The Ph.D. students shall be evaluated twice in the first semester; once, at the end of the three-month orientation course work, and then, at the time of presentation of the draft Ph. D. synopsis in the faculty seminar to be organized towards the end of the first semester. Assignments will be given to each student in the form of term papers, which will be evaluated for award of credits. Each term paper shall be of not more than 5000 words.

### 8.2 *Course Outline*

#### **Paper 1: Research Methodology and Basic Statistics**

- ❑ Types of Educational Research
- ❑ Formulation of Research Problem
- ❑ Tools and Techniques of Research
- ❑ Qualitative Research: Methods and Techniques
- ❑ Ethnographic Studies in Education
- ❑ Quantitative and Qualitative Data in Educational Research
- ❑ Educational Statistics: Scope and Coverage
- ❑ Report Writing and Presentation
- ❑ Probability: Concept and Types
- ❑ Measures of Central Tendency and Variability

- ❑ Trend Analysis
- ❑ Correlation and Regression Analyses
- ❑ Analysis of Variance and Covariance
- ❑ Factor Analysis
- ❑ Statistical Package for Social Science (SPSS)

## **Paper 2: Educational Policy and Planning**

- ❑ Overview of Educational Policy in India
- ❑ Policy Formulation in Education
- ❑ Methods of Policy Research
- ❑ Educational Planning in India
- ❑ Education under Five-Year Plans
- ❑ Decentralized Planning and Local level Planning Techniques in Education
- ❑ Planning under Basic Education Reform Programmes since the early 1990s
- ❑ District Planning in Education
- ❑ Planning for School-wide Reform

## **Paper 3: Educational Administration**

- ❑ Educational Administration in India
- ❑ Managerial Excellence
- ❑ Management of Education under Local Bodies
- ❑ Role of NGOs and Community in Management of Education
- ❑ Management of Inclusive Education
- ❑ Personnel Management
- ❑ Institutional Management
- ❑ Management of Development Programmes

## **Paper 4: Education and Development**

- ❑ Education and Human Development
- ❑ Education and Society
- ❑ Concept of Economic Efficiency in Allocation of Resources
- ❑ Financing of Education
- ❑ Regional Disparity in Educational Development
- ❑ Globalization, WTO and GATS
- ❑ FDI Policy in Education Sector
- ❑ ICT and Planning and Management of Education

- External Funding and Education Reform Programmes
- Distance Education and Open Learning system

## 9. General Conditions

- (i) A candidate registered for full-time Ph. D. programme of the National University shall not be allowed to pursue any other course leading to a degree or diploma. Such concurrent activity, if brought to notice, shall entail de-registration of the candidate from the Ph. D. programme.
- (ii) Candidates shall not take up any temporary or permanent employment during the entire duration of the Ph. D. programme.
- (iii) Candidates who are already in employment shall have to submit 'No Objection Certificate' from their respective employers while applying for either of the two i.e. full-time or part-time Ph. D. programme.
- (iv) Candidates shall have to observe the condition of residency during the Ph.D. programme. However, in connection with the research work/field work, they may be allowed to go out of station with the prior permission of the supervisor(s).
- (v) Candidates shall have to clear all dues before submission of the thesis.

## 10. NUEPA Campus & Student Support Services

NUEPA is located at 17-B, Sri Aurobindo Marg, New Delhi-110016. The University campus houses the office building, hostel and staff quarters. The hostel of the National University has 60 fully furnished rooms with attached bath. The hostel is generally meant for providing accommodation to the guests of the National University as well as for providing accommodation to the participants of training programmes, workshops and seminars, etc. organized by the University.

The University has very well established library and documentation center, publication unit, computer center, electronic data processing unit and a cartography cell. Candidates admitted to the Ph.D. Programme shall have access to all such facilities in connection with their Ph.D. work.

## 11. Faculty & Staff

### **Prof. Ved Prakash**

Vice- Chancellor

Ph.D. (Education)

*Area of Specialization:* Educational Evaluation and Administration.

**Prof. Marmar Mukhopadhyay**

Ph.D.

*Area of Specialization:* Educational Management and Distance Education.

**Prof. R. Govinda**

Professor and Head, Department of School and Non-Formal Education

Ph.D. (Education)

*Area of Specialization:* Policy Analysis, Decentralized Management, Basic Education, and Educational Evaluation.

**Prof. Jandhyala B.G. Tilak**

Professor and Head, Department of Educational Finance

Ph.D. (Economics)

*Area of Specialization:* Educational Planning and Educational Finance.

**Prof. (Ms) K. Sujatha**

Ph.D. (Educational Anthropology)

*Area of Specialization:* Educational Policy Analysis & Comparative Education.

**Prof. (Ms) Sudha Rao**

Ph.D. (Education)

*Area of Specialization:* Higher Education and Vocationalisation of Education.

**Prof. (Ms) Sudesh Mukhopadhyay**

Professor and Head, Department of Educational Policy, and In-charge,  
Department of International Cooperation

Ph.D. (Education)

*Area of Specialization:* Special Education & Teacher Education and School Quality.

**Prof. (Ms) Najma Akhtar**

Professor and Head, Department of Educational Administration

Ph.D. (Education)

*Area of Specialization:* Educational Administration and Distance Education.

**Prof. Sudhanshu Bhushan**

Professor and Head, Department of Higher Education

Ph.D. (Economics)

*Area of Specialization:* Higher Education and Education Planning.

**Prof. Arun C. Mehta**

Professor and Head, Department of Operations Research and Systems  
Management

Ph.D. (Demographic Projections)

*Area of Specialization:* EMIS & Projection Techniques.

**Prof. (Ms) Nalini Juneja**

Ph.D. (Education)

*Area of Specialization:* Constitutional Rights and Education.

**Prof. S.M.I.A. Zaidi**

Professor and Head, Department of Educational Planning

Ph.D. (Sociology)

*Area of Specialization:* Decentralized Planning and Appraisal.

**Dr. (Ms) Pramila Menon**

Associate Professor and In-charge, Department of Sub-National Systems

Ph.D. (Education)

*Area of Specialization:* Decentralized Planning & Community Participation.

**Dr. (Ms) Neelam Sood**

Associate Professor

Ph.D. (Psychology)

*Area of Specialization:* Early Childhood Care and Education.

**Dr. (Ms) Yazali Josephine**

Associate Professor

Ph.D. (Economics in Education)

*Area of Specialization:* Financing of School Education.

**Dr. (Ms) Veera Gupta**

Associate Professor

Ph.D. (Education)

*Area of Specialization:* Vocationalisation of Education & Teacher Education

**Dr. (Ms) Vineeta Sirohi**

Associate Professor

Ph.D. (Psychology)

*Area of Specialization:* Organizational Behavior & Personal Management.

**Dr. B. K. Panda**

Assistant Professor

Ph.D. (Educational Anthropology)

*Area of Specialization:* Education of the Disadvantaged.

**Dr. (Ms) Rashmi Diwan**

Assistant Professor

Ph.D. (Education)

*Area of Specialization:* Educational Management.

**Dr. K. Biswal**

Assistant Professor

Ph.D. (Economics of Education)

*Area of Specialization:* Decentralized Planning, Project Planning and School Mapping.

**Dr. R.S. Tyagi**

Assistant Professor

Ph.D. (Economics)

*Area of Specialization:* Organizational Management.

**Dr. (Ms) P. Geetha Rani**

Assistant Professor

Ph.D. (Economics)

*Area of Specialization:* Financing of Education.

**Dr. (Ms) Jayashree Jalali**

Assistant Professor

Ph.D. (Education)

*Area of Specialization:* School Education.

**Dr. (Ms) Neeru Snehi**

Assistant Professor

Ph.D. (Education)

*Area of Specialization:* Higher Education.

**Dr. (Ms) Madhumita Bandyopadhyay**

Assistant Professor

Ph.D. (Geography)

*Area of Specialization:* Education of Deprived Groups, Child Rights and Women's Education.

**Dr. R. K. Murthy**

Assistant Professor

Ph.D. (Psychology)

*Area of Specialization:* Organizational Behavior.

**Dr. V. P. S. Raju**

Assistant Professor

Ph. D. (Education)

*Area of Specialization:* Comparative Education & Education for Disadvantaged.

**Mr. K. Srinivas**

System Analyst

M.Sc. (Physics) & MCA

*Area of Specialization:* Computer Applications.

**Dr. (Ms) Manju Narula**

Research and Training Associate

Ph.D. (Education)

*Area of Specialization:* Organizational Management.

**Dr. (Ms) Sunita Chugh**

Research and Training Associate

Ph.D. (Education)

*Area of Specialization:* Education of the Disadvantaged.

**Dr. S.K. Mallick**

Research and Training Associate

Ph.D. (Sociology)

*Area of Specialization:* School Education.

**Dr. (Ms) Kausar Wizarat**

Research and Training Associate

Ph.D. (Chemistry)

*Area of Specialization:* Women's Education

**Mr. N. Reddy**

Research and Training Associate

*Area of Specialization:* Financing of Education.

**Dr. N.K. Mohanty**

Research and Training Associate

Ph.D. (Economics)

*Area of Specialization:* Decentralized & Manpower Planning.

**General Administration**

**Shri P. R. R. Nair**

Registrar

**Shri A. K. Khanduri**

Administrative Officer

**Shri J. K. Tripathi**

Finance Officer

## **Library & Documentation Centre**

**Mrs. Deepak Makol**

Librarian

**Dr. D.S. Thakur**

Documentation Officer

## **Publication Unit & Hindi Cell**

**Dr. Subhash Sharma**

Hindi Editor

**Shri Pramod Rawat**

Deputy Publication Officer